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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Teruaki SEKINE et al.

Serial No. 09/944,360

Filed September 4, 2001

Confirmation No. 1329

Attn: BOX MISSING PARTS

Docket No. 2001\_1248A

METHOD AND ACTIVATED LYMPHOCYTE  
PREPARATIONS FOR PREVENTING RECURRENCE  
OF CARCINOMA

REPLY TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application dated October 16, 2001, submitted herewith is the required Declaration and Power of Attorney.

Also enclosed are the PTO surcharge of \$65.00, required by 37 CFR 1.16(e), and a copy of the Notice.

Favorable action on the merits is now requested.

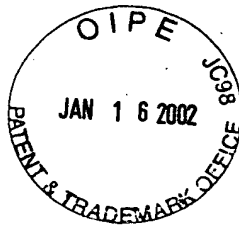
Respectfully submitted,

Teruaki SEKINE et al.

By: Warren M. Cheek, Jr.  
Warren M. Cheek, Jr.  
Registration No. 33,367  
Attorney for Applicants

WMC/dlk  
Washington, D.C. 20006-1021  
Telephone (202) 721-8200  
Facsimile (202) 721-8250  
January 16, 2002

2001-09-04 09:44:36



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of  
Teruaki SEKINE et al.

Serial No. 09/944,360

Filed September 4, 2001

Docket No. 2001\_1248A/WMC/01208

METHOD AND ACTIVATED LYMPHOCYTE PREPARATIONS  
FOR PREVENTING RECURRENCE OF CARCINOMA

DECLARATION

Honorable Commissioner of Patents and Trademarks  
Washington, D.C.

Sir:

I, Tsunenori Yamada, declare and say:

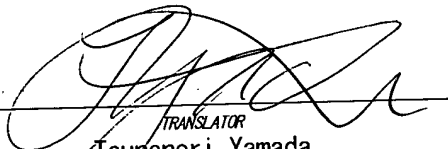
that I am thoroughly conversant in both the Japanese and English languages;

that I am presently engaged as a translator in these languages;

that the attached document represents a true English translation of the application  
entitled METHOD AND ACTIVATED LYMPHOCYTE PREPARATIONS FOR PREVENTING RECURRENCE  
OF CARCINOMA, filed September 4, 2001.

I, undersigned, declare further that all statements made herein of my own knowledge  
are true and that all statements made on information and belief are believed to be true;  
and further that these statements and the like so made are punishable by fine or  
imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that  
such willful false statements may jeopardize the validity of the application or any patent  
issuing thereon.

Signed this 9th day of December, 2001.

  
TRANSLATOR  
Tsunenori Yamada

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/944,360	09/04/2001	Teruaki Sekine	2001_1248A

CONFIRMATION NO. 1329

00513

WENDEROTH, LIND & PONACK, L.L.P.  
2033 K STREET N. W.  
SUITE 800  
WASHINGTON, DC 20006-1021

## FORMALITIES LETTER



\*OC000000006918809\*

Date Mailed: 10/16/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/22/2002 SDIRETA1 00000072 09944360

FILED UNDER 37 CFR 1.53(b)

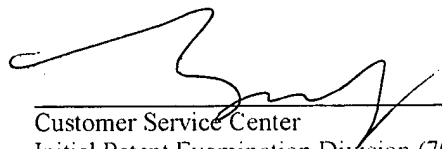
01 FC 205 65.00 OP  
02 FC 203 27.00 OP  
03 FC 139 130.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 195.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



#3

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : **ATTN: BOX MISSING PARTS**  
Teruaki SEKINE et al. :  
Serial No. 09/944,360 : **Docket No. 2001\_1248A**  
Filed September 4, 2001 : **Confirmation No. 1329**

METHOD AND ACTIVATED LYMPHOCYTE  
PREPARATIONS FOR PREVENTING RECURRENCE  
OF CARCINOMA

**THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEE FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975.**

**ADDITIONAL CLAIMS FEE TRANSMITTAL LETTER**

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

Transmitted herewith is an Amendment in the above-identified application. Additional fees required as a result of this Amendment are calculated as follows:

	SMALL ENTITY		LARGE ENTITY
Total Claims exceeding 20 (not already paid for): 3 x	(\$ 9 = \$27.00)	or	(\$18 = \$)
Indep. Claims exceeding 3 (not already paid for): x	(\$42 = \$)	or	(\$84 = \$)
<input type="checkbox"/> Multiple Dep. Claim(s) (if there previously were none): +	(\$140 = \$)	or	(\$280 = \$)
Total Additional Fee =	<u>\$27.00</u>	or	<u>\$</u>

- ☒ Small entity status of this application is established by the verified statement under 37 C.F.R. 1.9 and 1.27 which  
☐ is enclosed or  
☒ has been previously submitted.

☒ A check in the amount of \$27.00 is enclosed.

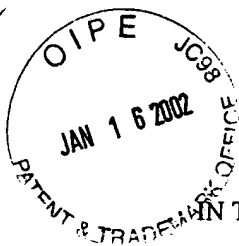
☐ Please charge Deposit Account No. 23-0975 the amount of \$ to cover additional fee. The Commissioner is authorized to charge any deficiency associated with this communication or to credit any overpayment to the Deposit Account. The original and two copies of this document are enclosed.

Respectfully submitted,

Teruaki SEKINE et al.

By Warren M. Cheek, Jr.  
Warren M. Cheek, Jr.  
Registration No. 33,367  
Attorney for Applicants

WMC/dlk  
Washington, D.C. 20006-1021  
Telephone (202) 721-8200  
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January 16, 2002



*Sec 6b*  
*#3*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : **ATTN: BOX MISSING PARTS**  
Teruaki SEKINE et al. : Atty Docket 2001\_1248A  
Serial No. 09/944,360 : **Confirmation No. 1329**

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**METHOD AND ACTIVATED LYMPHOCYTE  
PREPARATIONS FOR PREVENTING RECURRENCE  
OF CARCINOMA**

**THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEE FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975.**

**PATENT OFFICE FEE TRANSMITTAL FORM**

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$277.00 to cover Patent Office fees relating to filing the following attached papers:

Late filing of executed Declaration ..... \$65.00

Petition for Extension of Time ..... \$55.00

**Additional Claims Fee Transmittal Letter**

Excess of Twenty ..... \$27.00

Independent ..... \$

Multiple Dependent Fee ..... \$

Other - Verified English translation ..... \$130.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

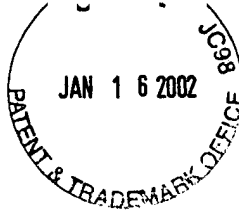
*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,  
Teruaki SEKINE et al.

By *Warren M. Cheek, Jr.*  
Warren M. Cheek, Jr.  
Registration No. 33,367  
Attorney for Applicants

WMC/dlk  
WENDEROTH, LIND & PONACK, L.L.P.  
2033 K St., N.W., Suite 800  
Washington, D.C. 20006-1021  
Telephone (202) 721-8200  
January 16, 2002

[Check No. 48389]  
2001\_1248A



#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Teruaki SEKINE et al. : **ATTN: BOX MISSING PARTS**  
Serial No. 09/944,360 : Docket No. 2001\_1248A  
Filed September 4, 2001 : **Confirmation No. 1329**

METHOD AND ACTIVATED LYMPHOCYTE  
PREPARATIONS FOR PREVENTING RECURRENCE  
OF CARCINOMA

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEE FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975.

**COVER LETTER ACCOMPANYING VERIFIED ENGLISH TRANSLATION OF**

**APPLICATION ORIGINALLY FILED IN A FOREIGN LANGUAGE**

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

There is submitted herewith a verified English translation of the above-identified application which was originally filed in a foreign language. The PTO fee of \$130.00 required by 37 CFR 1.17(k) accompanies.

The executed Declaration and Power of Attorney is also being concurrently filed herewith under separate cover letter.

In view of the above, it is submitted that the present application now is complete.

Respectfully submitted,  
Teruaki SEKINE et al.

By Warren M. Cheek, Jr.  
Warren M. Cheek, Jr.  
Registration No. 33,367  
Attorney for Applicants

WMC/dlk  
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